ETA welfare to work

ETA welfare to work

Round 3 Competitive Grants

Technical Assistance Conference

February, 1999

ETA welfare to work

Major Changes in Welfare Policy

- **Welfare Reform, 1996**
  HHS/TANF

- **Welfare-to-Work, 1997**
  DOL/WtW
  $3 Billion over 2 years

Welfare-to-Work Grant Initiative

- **$3 Billion over 2 years**
- **State Formula Grants (75%)**
  - **85% to Locals**
  - **15% for Governor's Projects**
- **Competitive Grants (25%)**
  - **DOL to communities**

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Competitive Grants

- **Grants to Local Communities**
- **Complements Formula Grants**
- **Urban/Rural Distribution**

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Competitive Grants

Eligible Grant Applicants

- **Private Industry Councils (PIC)**
- **Political Subdivisions**
- **Private Entities**
  - **(non profit and for profit)**

Must Apply "in conjunction with" PIC or Political Subdivision

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ETA welfare to work   **Round 3**

Funding Availability

- **Approx. $240 M.**
- **Grant size: $1-$5 M.**
- **Award Period: 18-30 mo.**

ETA welfare to work   **Formula and**
                             Competitive Grants

Allowable Activities
and Eligibility

ETA welfare to work   **Allowable Activities**

"Work First"

- **Job Readiness**
- **Employment Activities**
- **Job Placement**
- **Post Employment Services**
- **Job Retention and Support Services**
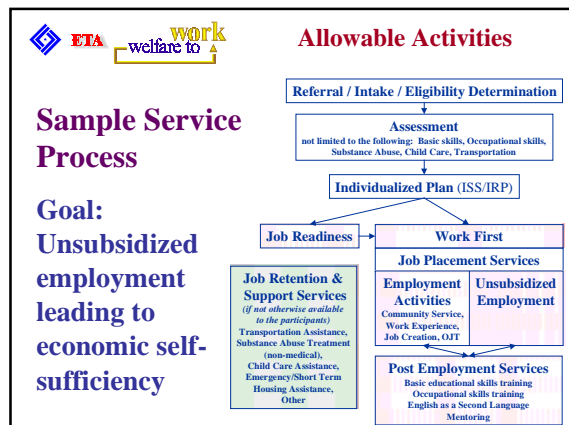- **IDAs**
- **Intake, Assessment, Case Management, etc.**

Allowable Activities

Sample Service Process

Goal: Unsubsidized employment leading to economic self-sufficiency

Referral / Intake / Eligibility Determination

Assessment
not limited to the following: Basic skills, Occupational skills, Substance Abuse, Child Care, Transportation

Individualized Plan (ISS/IRP)

Job Readiness

Work First

Job Placement Services

Job Retention & Support Services
(if not otherwise available to the participants)
Transportation Assistance, Substance Abuse Treatment (non-medical), Child Care Assistance, Emergency/Short Term Housing Assistance, Other

Employment Activities
Community Service, Work Experience, Job Creation, OJT

Unsubsidized Employment

Post Employment Services
Basic educational skills training
Occupational skills training
English as a Second Language
Mentoring

Targeting Eligible Participants

- **70% - Hard to Employ (Minimum)**

- **30% - Long-Term Welfare Dependence Characteristics (Maximum)**

Round 3

Five Target Populations

- **Noncustodial parents**
- **Individuals with disabilities**
- **Individuals requiring substance abuse treatment**
- **Victims of domestic violence**
- **Individuals with limited English proficiency**

ETA welfare to work   **Round 3**

Evaluation Criteria

Relative Need for Assistance   **[20 points]**

Innovation   **[20 points]**

Outcomes   **[25 points]**

Local Collaboration
       & Sustainability   **[25 points]**

Demonstrated Capability   **[10 points]**

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ETA welfare to work   **Round 3**

Planned Key Implementation Dates

Publish solicitation for   **January, 1999**
grant application

Submittal of grant   **April 30, 1999**
applications

Announce first
Competitive Grants   **September, 1999**

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ETA welfare to work

Grant Application Requirements

- **Solicitation for Grant Applications (SGA) in Federal Register on January 26**
- **Copy of the SGA on DOL/WtW Home Page at http://wtw.doleta.gov**
- **Closing: April 30, 1999 at 2:00 PM EST**
- **Submit four (4) copies with original signatures Proposals must be submitted in two (2) separate sections**   **(NO THREE RING BINDERS)**

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ETA welfare to work **Grant Applications**

Section 1 ~ Project Summary

Required Elements

(1) Cover Sheet

(2) Application for Federal Assistance, SF-424

(3) Project Synopsis, ETA Form 9070

(4) Executive Summary

(5) Budget Information Sheet & Narrative

(6) Certifications or evidence of efforts to coordinate

ETA welfare to work **Grant Applications**

Section 1 ~ Project Summary

- **Omission of any of the six required elements will disqualify your application.**
- **These required materials will not count against the page limitation.**

ETA welfare to work

Cover Sheet

SF-424

Insert:
1 7 - 2 5 3

10/1/99

(18 to 30)

**ETA
Form 9070**

**Budget
Sheet**

ETA welfare to work
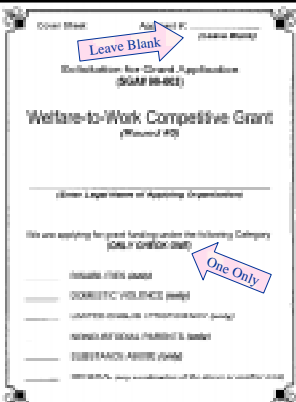
Administrative Requirements

- Competitive grants - no match
- 15% Administrative Limit
- Technology costs need not count as admin
- Follow appropriate OMB circulars
- No capital improvement costs

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ETA welfare to work   Grant Applications

Section 2 ~ Government's Requirement

- Limited to 20 page narrative
- Must be single-spaced in (at least) 12 point type, single-sided, and pages numbered
- Any narrative material in excess of the 20 page limit may not be reviewed by the rating panel

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ETA welfare to work   Grant Applications

Section 2 ~ Government's Requirement

- Clearly address the requirements in the Statement of Work (SOW) and Evaluation Criteria
- Limit 10 pages of attachments (if necessary)
  - Letters of commitment; NO letters of support

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ETA welfare to work **Grant Applications**

Grant Review Process

- **Pre-screened for eligibility as follows:**
  - – **PIC/Political subdivision**
  - – **private non-profit organization**
  - – **private for-profit organization**
  - – **Required six (6) elements**
- **Review and rating of "eligible" applicants against evaluation criteria only. (Possible 100 points)**

ETA welfare to work **Grant Applications**

Grant Review Process

- **Applicants can receive bonus points:**
  - – **5 points for EZ/EC**
  - – **10 points for high priority populations**
- **The panel ratings are advisory in nature to the Grant Officer, and are not the only basis for award selections.**

ETA welfare to work **Statement of Work**

Part II: Statement of Work/Project Narrative

ETA welfare to work — **Statement of work**

1. Description of the Service Area

- **Geographic Area**
- **Statistics**
- **Local Infrastructure Deficiencies**

Criteria: Relative Need

ETA welfare to work — **Statement of work**

2. Summary of Existing WtW Resources

- **Formula**
- **Competitive**

Criteria: Local Collaboration; Relative Need

ETA welfare to work — **Statement of work**

3. Analysis of Target Group

- **Demographic Characteristics**
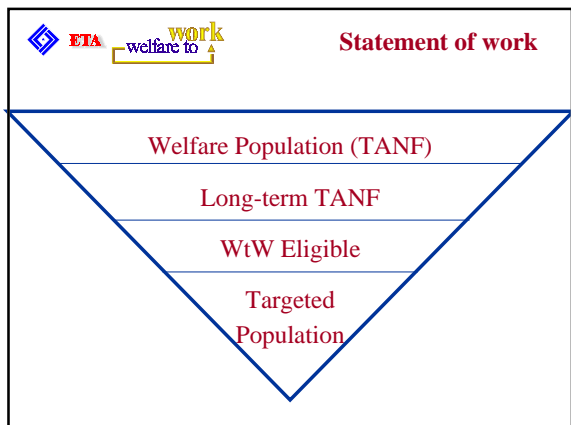- **Barriers to Employment**

Criteria: Relative Need

ETA welfare to **work**   **Statement of work**

Welfare Population (TANF)

Long-term TANF

WtW Eligible

Targeted
Population

ETA welfare to **work**   **Statement of work**

4. Analysis of Employment Opportunities

- **Types of Occupations**
- **Justification for Selection**

Criteria: Relative Need

ETA welfare to **work**   **Statement of work**

Service Strategy
vs.
Service Process
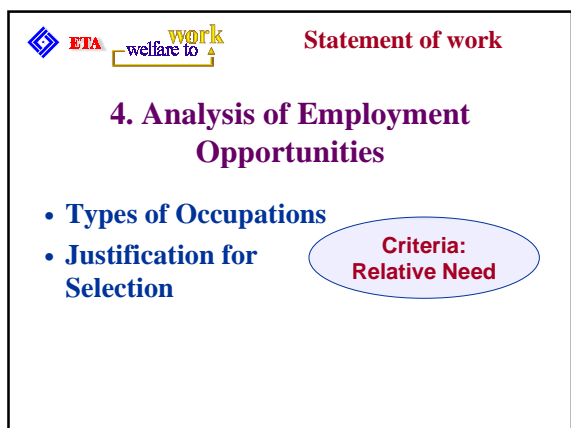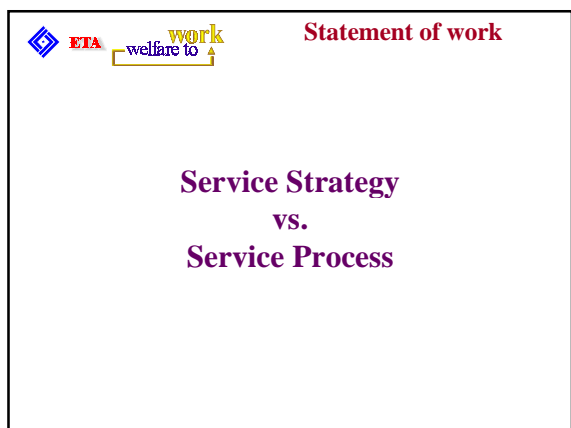
ETA welfare to work   **Statement of work**

5. Service Strategy

- **Specific Services**
- **Rationale**
- **Individual Support Services**

Criteria: Innovation; Outcomes

ETA welfare to work   **Statement of work**

6. Service Process

- **Comprehensive Process**
- **TANF Service Process**
- **Contracts & Vouchers**

Criteria: Innovation; Outcomes

ETA welfare to work   **Allowable Activities**

Sample Service Process

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Job Readiness

Work First

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Employment Activities
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Unsubsidized Employment

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Mentoring

ETA welfare to work **Statement of work**

7. Integration of Resources

- **Specific Partners/Resources**
- **Expand Network**
- **Sustainability**

Criteria: Local Collaboration

ETA welfare to work **Statement of work**

8. Employer Support

- **Employer Relations**
- **Identify Employer Commitments**

Criteria: Local Collaboration

ETA welfare to work **Statement of work**

9. Planned Outcomes

Must Address:

- **Number placed**
- **Average earnings**
- **Expected earnings** (one year after placement in unsubsidized employment)
- **Cost per placement**

Criteria: Outcomes

Proposed Performance Measures

- **Job Entry Rate**
- **Substantive Job Entry**
- **Retention**
- **Earnings Gain**

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Statement of work

10. Implementation

- **I.D. Activities for First 60 Days**
- **Implementation Schedule**

Criteria: Outcomes; Innovation

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Statement of work

11. Project Management Plan

- **Organizational Chart**
- **Applicant Experience**
- **Use of Welfare Recipients**
- **Feedback Mechanisms**

Criteria: Capability; Innovation

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ETA welfare to **work**   **Statement of work**

12. Innovation

- **New Target Group**
- **New Strategies**
- **New Partners**
- **New Setting**

Criteria: Innovation

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ETA welfare to **work**   **Statement of work**

13. Community Saturation

- **Appropriateness**
- **Feasibility**
- **I.D. Local Partners**

Criteria: Outcomes; Innovation

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ETA welfare to **work**   **Statement of work**

14. Targeted Populations

- **Services**
- **Partners**
- **Prevalence**

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Before submitting questions:

READ the SGA!

For SGA Questions:

Mamie D. Williams
Fax: (202) 219-8739
E-mail: disgu-sga@doleta.gov

Welfare-to-Work Website

http://wtw.doleta.gov

**General
Technical Assistance Questions:**

U.S. Department Of Labor
Employment & Training Administration
Office of WtW

Phone: (202) 219-0181
Fax: (202) 219-0376

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